

Substitute Form PTO-1449 (Modified) SEP 15 2004 U.S. DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE (37 CFR 1.108(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 14173-002001	Application No. 10/643,439
	Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Jayendu Patel	
			Filing Date August 19, 2003	Group Art Unit 2183 3623

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,754,938	05/19/1998	Herz et al.			
	AB	5,754,939	05/19/1998	Herz et al.			
	AC	5,758,257	05/26/1998	Herz et al.			
	AD	5,835,087	11/10/1998	Herz et al.			
	AE	5,893,092	04/06/1999	Driscoll			
	AF	6,029,195	02/22/2000	Herz			
	AG	6,064,980	05/16/2000	Jacobi et al.			
	AH	6,266,649 B1	07/24/2001	Linden et al.			
	AI	6,321,179 B1	11/20/2001	Glance et al.			
	AJ	6,356,879 B2	03/12/2002	Aggarwal et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	WO 00/57312	09/28/2000	PCT WIPD				
	AL							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AM	Gediminas Adomavicius & Alexander Tuzhilin, "Extending Recommender Systems: A Multidimensional Approach", IJCAI-01 Workshop on Intelligent Techniques for Web Personalization (ITWP'2001), Seattle, Washington, August 2001, five pages.
	AN	Asim Ansari et al., "Internet Recommendation Systems", JMR, Journal of Marketing Research Volume XXXVII, pp. 363-375, August 2000.
	AO	Christopher Avery et al., "The Market for Evaluations", The American Economic Review, Vol. 89, No. 3, pp. 564-586, June 1999.
	AP	Billsus, D. & Pazzani, M. "Revising User Profiles: The Search for Interesting Web Sites", In Proceedings of the Third International Workshop on Multistrategy Learning, AAAI Press, twelve pages, 1996.
	AQ	William K. Cheung, James T. Kwok, Martin H. Law & Kwok-Ching Tsui. "Mining customer preference ratings for product recommendation using the support of vector machine and the latent class model", Department of Computer Science, Hong Kong Baptist University, Kowloon Tong Hong Kong, Intelligent Systems Research Group, BT Laboratories, 1999.
	AR	Kumar et al., "Recommendation systems: a probabilistic analysis", IBM Almaden Research Center, IEEE Computer Society, 1998.
Examiner Signature T. GRAYSA		Date Considered 7/5/05
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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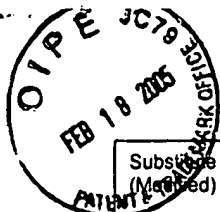
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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<i>AS</i>	AS	Andreas Mild & Martin Natter, "Academic Papers, Collaborative filtering or regression models for Internet recommendation systems?", Journal of Targeting, Measurement and Analysis for Marketing, Henry Stewart Publications 0967-3237, Vol. 10, 4, pp. 304-313, 2002.
<i>AT</i>	AT	R.J. Mooney and L. Roy. Content-based book recommending using learning for text categorization. In SIGIR'99 Workshop on Recommender Systems: Algorithms and Evaluation, 1999.
<i>—</i>	AU	B.P.S. Murfin and Sumit Sarkar, "The Role of the Management Sciences in Research on Personalization", Management Science, Informis, Vol. 49, No. 10, pp. 1344-1362, October 2003.
<i>AV</i>	AV	C.H. Papadimitriou, P. Raghavan, H. Tamaki, and S. Vempala. Latent Semantic Indexing: A Probabilistic Analysis. In Proceedings of the ACM Conference on Principles of Database Systems (PODS), Seattle (to appear), 1998.
<i>AW</i>	AW	Pazzani, M., Billsus, D., "Learning and Revising User Profiles: The Identification of Interesting Web Sites, Machine Learning 27", Kluwer Academic Publishers, pp. 313-331, 1997.
<i>AX</i>	AX	M. Pazzani, J. Muramatsu and D. Billsus, "Syskill & Webert: Identifying interesting Web Sites", In Proceedings of the Thirteenth National Conference on Artificial Intelligence, pp. 54-61, Portland, 1996.
<i>AY</i>	AY	A. Popescul, L. H. Ungar, D. M. Pennock, and S. Lawrence. "Probabilistic models for unified collaborative and content-based recommendation in sparse-data environments" In Proceedings of the Seventeenth Conference on Uncertainty in Artificial Intelligence, 2001.
<i>AZ</i>	AZ	A. I. Schein, A. Popescul, and L. H. Ungar, "PennAspect: A two-way aspect model implementation", Technical Report MS-CIS-01-25, Department of Computer and Information Science, The University of Pennsylvania.
<i>AAA</i>	AAA	Andrew I. Schein, Alexandrin Popescul, Lyle H. Ungar, and David M. Pennock, "Generative models for cold-start recommendations", In Proceedings of the 2001 SIGIR Workshop on Recommender Systems.

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>[initials]</i>	AA	2002/0099594	7/25/2002	Heard	_____	_____	
<i>[initials]</i>	AB	2002/0147628	10/10/2002	Specter et al.	_____	_____	
<i>[initials]</i>	AC	2002/0184139	12/5/2002	Chickering et al.	_____	_____	
<i>[initials]</i>	AD	2003/0024817	2/6/2003	Chun et al.	_____	_____	
<i>[initials]</i>	AE	2003/0033196	2/13/2003	Tomlin	_____	_____	
<i>[initials]</i>	AF	2003/0040952	2/27/2003	Keil et al.	_____	_____	
<i>[initials]</i>	AG	2003/0088458	5/8/2003	Afeyan et al.	_____	_____	
<i>[initials]</i>	AH	2003/0126013	7/3/2003	Shand	_____	_____	
<i>[initials]</i>	AI	2003/0139957	7/24/2003	Satchwell	_____	_____	
<i>[initials]</i>	AJ	2003/0154092	8/14/2003	Bouron et al.	_____	_____	
<i>[initials]</i>	AK	2003/0195793	10/16/2003	Jain et al.	_____	_____	
<i>[initials]</i>	AL	2004/0054572	3/18/2004	Oldale et al.	_____	_____	
<i>[initials]</i>	AM	2004/0059626	3/25/2004	Smallwood	_____	_____	
<i>[initials]</i>	AN	2004/0103058	5/27/2004	Hamilton	_____	_____	
<i>[initials]</i>	AO	6,745,184	6/1/2004	Choi et al.	_____	_____	
<i>[initials]</i>	AP	6,782,370	8/24/2004	Stack	_____	_____	
<i>[initials]</i>	AQ	2004/0181441	9/16/2004	Fung et al.	_____	_____	

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